



File No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
David A. Balaban) Group Art Unit: 1645
Serial No. 09/697,877) Examiner: C. Wilder
Filed: October 26, 2000)
Title: Methods for Monitoring the)
Expression of Alternatively)
Spliced Genes)

Commissioner of Patents
Washington D.C. 20231

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Form are called to the attention of the Examiner for the above patent application. The documents were first cited in a corresponding PCT patent application PCT/US01/13276, on April, 2003.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies that the items of information contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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Information Disclosure Statement
Under 37 CFR §§1.56 and 1.97

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Respectfully submitted,

Leticia R. Block

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Date: 05-20-2003

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Sheet 1 of 1

 PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.: 3330.2	SERIAL NUMBER: 09/697,877
	APPLICANT: David A. Balaban	
	FILING DATE: October 26, 2000	GROUP ART UNIT: 1645

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.



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1645

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/697,877
Filing Date	October 26, 2000
First Named Inventor	David A. Balaban
Group Art Unit	1645
Examiner Name	C. Wilder
Total Number of Pages in This Submission	Attorney Docket Number 3330.2/US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">Return postcard Form 1449 One (1) Reference</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	RECEIVED
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	MAY 27 2003
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<div style="border: 1px solid black; padding: 5px; height: 40px;">Remarks</div>	TECH CENTER 1600/2900
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is authorized to charge any fees or credit any overpayments associated with this application to Deposit Account No. 01-0431.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leticia R. Block, Reg. No.: 50,167	
Signature		
Date	05-20-2003	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Sylvia Rogers	
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